



Attorney's Docket No.: 14017-006001 / PSU 99-2157  
1635

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : James Norris et al. Art Unit : 1635  
Serial No. : 09/548,449 Examiner : M. Schmidt  
Filed : April 13, 2000  
Title : TISSUE-SPECIFIC AND PATHOGEN-SPECIFIC TOXIC AGENTS AND  
RIBOZYMES

Commissioner for Patents  
Washington, D.C. 20231

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The following correspondence relating to this application is enclosed for filing:

1. Supplemental Information Disclosure Statement (1 page);
2. Form PTO-1449 (1 page);
3. Copies of Cited References (3);
4. Copy of International Search Report (5 pages); and
5. A Return Postcard.

Please date stamp and mail the enclosed postcard.

Please apply any charges or credits to Deposit Account No. 06-1050.

Respectfully submitted,

Date: February 24, 2003

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I hereby certify under 37 CFR §1.8(a) that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage on the date indicated below and is addressed to the Commissioner for Patents, Washington, D.C. 20231.

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of: Norris et al.

Application No.: 09/548,449

Group Art Unit: 1635

Filed: April 13, 2000

Examiner: To Be Assigned

For: TISSUE-SPECIFIC AND  
PATHOGEN-SPECIFIC TOXIC  
AGENTS AND RIBOZYMES

Attorney Docket No.: 9175-016-999

**INFORMATION DISCLOSURE STATEMENT  
UNDER 37 C.F.R. §1.56 AND §1.97**

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

In accordance with the duty of disclosure imposed by 37 C.F.R. §1.56 to inform the Patent and Trademark Office of all references coming to the attention of each individual associated with the filing or prosecution of the subject application, which are or may be material to the patentability of any claim of the application, Attorneys for the Applicants hereby direct the Examiner's attention to the references AA through CM listed on the attached revised form PTO-1449. Legible copies of each reference AA through CK are provided herewith. Pursuant to 37 C.F.R. § 1.98(a)(2)(iii), copies of references CL and CM which are co-pending U.S. patent applications, which share inventors in common with the present application, are not enclosed.

While not to be construed as indicating that the Examiner should not review and consider fully all the listed references, Attorneys for Applicant particularly direct the Examiner's attention to references AC, AB, AD, AG, AL, AN, AP, AQ, AR, AS, BZ, CA, CB, CK, CL, and CM.

Identification of the above-listed references is not to be construed as an admission of the Applicants or Attorneys for the Applicants that such references are available as "prior art" against the subject application. Consequently, the Applicants respectfully decline to use form PTO-1449, since that form identifies all of the references cited therein as "Prior Art." As an alternative, the Applicants submit herewith a "revised form PTO 1449" entitled "List of References Cited".

The Applicants request that the Examiner review all the references identified on the attached revised PTO Form 1449, and that they be made of record in the file history of the above-identified application.

Pursuant to 37 C.F.R. § 1.97(b)(3), since this Information Disclosure Statement is being filed before the mailing date of a first Office Action on the merits, Applicants estimate that no fee is required. However, should the Patent and Trademark Office determine that a fee is required, please charge the required fee to Pennie & Edmonds LLP Deposit Account No. 16-1150; a duplicate sheet is enclosed for accounting purposes.

Respectfully submitted,

Date August 22, 2000

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Enclosures